



*Receipt*  
PATENT  
0505-4004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH

Filed: March 8, 2001

Art Unit: 2165

Examiner:

Serial No.: 09/801,588

Confirmation No.: 6999

Title: MARKET PROGRAM FOR INTERACTING WITH  
TRADING PROGRAMS ON A PLATFORM

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**REQUEST FOR CORRECTION OF FILING RECEIPT**

Assistant Commissioner for Patents  
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Dear Sir:

The Filing Receipt for the above-referenced application is incorrect because it lacks an attorney docket number. Please add the following attorney docket number:

0505-4004

as shown in red ink on the attached copy of the Filing Receipt.

Please issue a corrected Filing Receipt incorporating the requested correction.

Please call the undersigned attorney if there are any questions.

Respectfully submitted,

Date: May 29, 2001

Brenda Pomerance

Brenda Pomerance  
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CERTIFICATE OF MAILING

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are being deposited with the United States Postal Service with sufficient postage as first class mail under 37 C.F.R. § 1.8 on the date indicated below in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: May 29, 2001

Brenda Pomerance  
Brenda Pomerance

CORRESPONDENCE ADDRESS:

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COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/801,588	03/08/2001	2165	1151	0505-4004	91	104	4

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Brenda Pomerance  
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New York, NY 10019

AUG 22 2001

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CONFIRMATION NO. 6999

FILING RECEIPT



\*OC000000006106920\*

Date Mailed: 05/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Christopher Keith, New York, NY;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF 09/546,031 04/10/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 05/22/2001

Projected Publication Date: 10/11/2001

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\*****Title**

Market program for interacting with trading programs on a platform

**Preliminary Class**

705



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Bib Data Sheet

CONFIRMATION NO. 6999

<b>SERIAL NUMBER</b> 09/801,588	<b>FILING DATE</b> 03/08/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2165	<b>ATTORNEY DOCKET NO.</b> 0505-4004
<b>APPLICANTS</b> Christopher Keith, New York, NY;				
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CIP OF 09/546,031 04/10/2000				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **</b> ** 05/22/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance		<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 91	<b>TOTAL CLAIMS</b> 104
Verified and Acknowledged Examiner's Signature _____ Initials _____		<b>INDEPENDENT CLAIMS</b> 4		
<b>ADDRESS</b> Brenda Pomerance 260 West 52 St. Apt. 27B New York, NY 10019				
<b>TITLE</b> Market program for interacting with trading programs on a platform				
<b>FILING FEE RECEIVED</b> 1151	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	